

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KORENAGA et al. Examiner: Unknown
Serial No.: 10/608,342 Group Art Unit: Unknown

Filed: June 27, 2003 Docket No.: 10873.1250US01

Title: OPTICAL WAVEGUIDE AND METHOD FOR MANUFACTURING THE SAME

CERTIFICATE UNDER 37 CFR 1.8:

Thereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 15, 2003.

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. §1.98(a)(3)):

Japanese reference 8-320420 corresponds with U.S. 5,858,051.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, MN 55402-0903 (612) 362-5800

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By:

Pouglas P. Muelle

Reg. No. 30,300

DPM/jh

Dated: August 15, 2003

Date Mailed: Augus	t 15, 2003					She	et 1 of 1		
FORM 1449* INFORMATION DISCLOSURE STATEMENT							Application Number: 10/608,342		
16 2	IN AN APPLICATION				Applicant: KORENAGA et al.				
18 2003 6	IN AN APPLICATION (Use several sheets if necessary)			Filing Date: June 27, 2003 Group			Group A	Art Unit: Unknown	
Mr.									
TE TRADEMEN	,	U	S. PATENT DOCU	MENT	s				
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME		CLASS	SUBCLASS		FILING DATE IF APPROPRIATE	
	5,858,051	01.1999	Komiyama et al.						
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	DOCUMENT NO. DATE COUNT		COUNTRY	CLASS		SUBCLASS	TRANSLATION		
			1					YES	NO
	63-139304	06.1988	Japan					Abstract	
	8-320420	12.1996	Japan					See IDS	
	11-305055	11.1999	Japan					Abstract	
	6-222234	08.1994	Japan					Abstract	
	OTHER	DOCUMENT	S (Including Author, 7	itle, Da	ite, Pertinent I	ages, Etc	;.)		

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PATENT TRADEMARK OFFICE

EXAMINER	: Initial if reference considered	, whether or not citatio	n is in conformance w	ith MPEP 609; dra	aw line through o	itation if not in conformance

DATE CONSIDERED

EXAMINER